

**Meeting Call for Regular Meeting of the Santa Cruz Division
Friday, April 23, 2010, at 2:30 p.m.
Kresge Town Hall
ORDER OF BUSINESS**

1. Approval of Draft Minutes
 - a. Draft Minutes of November 20, 2009 and February 10, 2010, previously distributed (AS/SCM/292) and (AS/SCM/293) p. 1
2. Announcements
 - a. Chair Kletzer
 - b. Chancellor Blumenthal
 - c. Campus Provost/Executive Vice Chancellor Kliger
3. Report of the Representative to the Assembly (none)
4. Special Orders: Annual Reports (none)
5. Reports of Special Committees (none)
6. Reports of Standing Committees
 - a. Senate Executive Committee
 - i. Amendment to Regulation 9.2 – Written Evaluations (AS/SCP/1639) p. 24
 - b. Committee on Planning and Budget
 - i. Recommendations on 2010-11 Budget Reductions (AS/SCP/1638) p. 26
 - c. Committee on Rules, Jurisdiction and Elections
 - i. Amendments to Bylaw 8.4.1 & 9.1 (AS/SCP/1637) p. 32
7. Report of the Student Union Assembly Chair
8. Report of the Graduate Student Association President
9. Petitions of Students (none)
10. Unfinished Business (none)
11. University and Faculty Welfare
12. New Business

April 14, 2010

Members of the Academic Senate
Santa Cruz Division

Dear Colleagues:

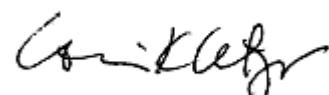
We will meet for our first Senate meeting of Spring quarter on **Friday, April 23, at 2:30pm at the Kresge Town Hall ([click here for agenda](#))**. Our main action item, put forward by the Senate Executive Committee (SEC) is a proposal to change Senate Regulation 9.2 to make undergraduate narrative evaluations instructor-optimal. SEC has been studying various NES options since Fall 2009, and the Senate discussed these options at our February Senate meeting, followed by the campus forum on NES that occurred in March. Whether you are a supporter of optional or required narrative evaluations expect a lively discussion and best of all, it is now time to vote!

We will hear from Chancellor Blumenthal and EVC Kliger. As is our custom, both will be available for Q&A following their remarks. Bring your Qs for them! Listen to fellow Senators' questions and stand to ask your own! Specifically, this meeting offers an opportunity to ask about EVC Kliger's budget reductions ([click here](#)) in the context of the Committee on Planning and Budget Report included in this call.

In the Chancellor's October 2009 "Two Year Goals Statement" ([click here](#)) the chancellor, with full acknowledgement of our short term budgetary challenges, laid out near-term campus planning themes (sidebar page 4) in the context of the campus strategic academic plan vision (sidebar page 3). We are now near the mid-point of this two year period and it is time to assess our progress and understand the degree to which we have aligned near term budget reductions with our long term vision.

I look forward to seeing you at the Senate meeting.

Sincerely,



Lori Kletzer, Chair
Academic Senate

**PROPOSED CORRECTIONS TO THE MINUTES
of the November 20, 2009 and February 10, 2010 Senate Meetings**

The draft minutes from the November 20, 2009, and February 10, 2010, Senate Meetings were distributed via email on April 13, 2010, and will be presented for approval at the Senate Meeting on April 23, 2010. After being approved, these minutes will be posted on the Senate web site (<http://senate.ucsc.edu/meetings.html>).

Senators are asked to submit any proposed corrections or changes to these draft minutes to the Senate Office in advance of the next meeting, via EMAIL or in WRITING. All proposed changes will be compiled in standardized format into a single list for display at the next meeting.

This approach gives Senators an opportunity to read and review changes before being asked to vote on them, gives the Senate staff and the Secretary time to resolve any questions or inconsistencies that may arise, and minimizes time spent on routine matters during meetings. While proposed changes may be checked for consistency, they will not be altered without the proposer's approval. This approach complements, but does not limit in any way, the right of every Senator to propose further changes from the floor of the meeting.

To assist the Senate staff, proposed changes should specify:

1. The location of the proposed change (e.g. item, page, paragraph, sentence...)
2. The exact wording of existing text to be modified or deleted
3. The exact wording of replacement or additional text to be inserted
4. (Optional) The reason for the change if not obvious

Please submit all proposed changes to arrive in the Senate Office **no later than 5 p.m., Wednesday, April 21, 2010**. They should be addressed to the Secretary, c/o Academic Senate Office, 125 Kerr Hall or via email to senate@ucsc.edu.

Carol Freeman
Secretary, Academic Senate
Santa Cruz Division

April 13, 2010

Draft M I N U T E S
Regular Meeting of the Santa Cruz Division
November 20, 2009

Meeting

A regular meeting of the Santa Cruz Division of the Academic Senate was held Friday, November 20, 2009 at the Stevenson Event Center. With Parliamentarian Michael Dine present, Chair Lori Kletzer called the meeting to order at 2:30 pm.

1. Approval of Draft Minutes

Chair Kletzer asked if there were any additional changes, other than those submitted in writing, to the minutes of October 19, 2009. As there were none, the minutes were approved.

2. Announcements

a. Chair Lori Kletzer

Chair Kletzer provided an update on the resolutions passed at the October 19, 2009 Special Senate meeting. There was a request for a mail ballot for resolutions A and B (AS/SCP/1612). Chair Kletzer said the ballot will go out in the coming week. Resolution C, from the October 19, 2009 Special Senate meeting, was declared invalid by the Committee on Rules, Jurisdiction and Elections and will not be included on the mail ballot. Chair Kletzer informed the Senate that due to the current occupation of Kerr Hall by student protestors, the reception planned for after the Senate meeting was cancelled. Chair Kletzer closed her remarks by saying she hopes the Senate can talk and listen to each other in an environment that is honest, clear and civil.

b. Chancellor George Blumenthal

Chancellor Blumenthal provided an update on the recent University of California (UC) Regents meeting, the UC Commission on the Future, and the Kerr Hall occupation.

At their November meeting, the UC Regents approved a 32 percent increase in undergraduate fees. The chancellor expressed concern about what the increases will mean to access and affordability. The chancellor feels that tradition may be in jeopardy, but noted that the UC Regents did approve an enhancement of the Blue and Gold opportunity plan which provides financial aid to students under a specified income level. The UC Regents also approved the re-opening of the Martin Luther King Hospital in Los Angeles. The hospital serves East Los Angeles, an underserved area, and the decision shows UC's public service commitment to California. He announced that the UC Regents approved a removal of academic deans from the senior management group, and that they will be treated more like faculty in terms of promotion. Chancellor Blumenthal said that at the end of the UC Regents meeting UC Regent George Marcus asked the UC Regents to remove their recommendation of increasing graduate student fees. While the request failed,

Chancellor Blumenthal believes the UC Regents will listen if the Academic Senate takes a strong position on the matter.

The UC Commission on the Future (Commission) membership is almost complete. Faculty members now constitute the majority in all the working groups, adding that there are UCSC faculty on every working group. The chancellor hopes to get the representatives of the working groups together with faculty and students. The Commission would like to see results from the working groups by March 21, 2010, but the chancellor believes the work will go on at least through the end of the academic year.

Chancellor Blumenthal then provided an update on the Kerr Hall occupation. The chancellor reported that there have been occupations on other campuses, and concerns by the students are real and understandable. There is no doubt the fee increase will affect access to education, but the anger needs to be directed not just at UC leaders but at Sacramento. Chancellor Blumenthal stated that the occupations are acts of civil disobedience with consequences. The loss of property and work time will lead to more costs. The chancellor would like to see the energy redirected, so that the campus can work in partnership to address these important issues.

c. Campus Provost/Executive Vice Chancellor Kliger

EVC Kliger began by summarizing the remarks he heard at the past two Fall Senate meetings:

- Distaste for furloughs;
- Concern about the impacts of salary compression on junior faculty, especially given the high cost of living in Santa Cruz;
- Frustration about the impact of these cuts on our lowest paid workers;
- Concern about the impacts that campus budget cuts are having on specific programs; and
- Disappointment that the campus can no longer keep afloat some programs that were not sustainable.

The EVC agreed that the concerns were valid, and that he shares in the frustrations with the choices everyone is being forced to make. The EVC said people's lives are affected by these decisions, and he weighs the impacts very carefully before proceeding. The EVC does not think it is useful for the Senate to pass resolutions restoring some of the budget cuts, unless the Senate advises the EVC what other cuts should be made instead.

EVC Kliger informed the Senate that, according to the latest reports, the state's budget will fall out of balance by five to seven billion dollars this fiscal year, on top of a 7.4 billion dollar gap already projected for 2010-11. The EVC looks to the Senate for concrete advice about where to cut. The EVC said being smaller does not mean that the campus is unable to fulfill its core mission, but it means the campus needs to think creatively.

The EVC stated that he is committed to working with the Senate and is assessing how to improve last year's budget process.

This involves:

- Figuring out how to ask better questions at the beginning of the process that would let us more effectively understand the impacts of cuts to each unit;
- Developing a process to enable earlier consultation with the Senate as well as with staff and students;
- Finding a way to assess campus impacts that might not be apparent if we just gathered information from individual campus units; and
- Creating a strategy for more effective campus communication as we go through the process.

The EVC said that with the huge cuts the campus has endured over the last two years there are simply no more easy cuts to be made. New cuts will continue to cause real pain, weakening of programs, and difficulties at every level of campus. The EVC hopes the campus can approach this task with the understanding that together decisions that support the primary research and teaching missions of the campus can be made.

EVC Kliger then discussed the Kerr Hall occupation which required the evacuation of more than 150 employees. The EVC engaged the occupiers and received their list of demands. At the time, he said, the occupiers appeared to be peaceful. In the course of discussions, the EVC and occupiers agreed that if their behavior did not escalate and the occupiers addressed the EVC's safety concerns, the EVC would meet with the occupier's designees the next morning. EVC Kliger then said he had learned that the occupiers broke the agreement, and decided not meet with them. The occupiers barricaded doors they had, agreed would not be obstructed, he continued, and some interior areas within the building were broken into. The EVC went on to say that the individuals occupying Kerr Hall are trespassing and will be subject to law enforcement and campus sanctions. The administration will continue to monitor the situation and keep Senate leadership involved in discussions as the situation evolves.

Professor Tim Duane (Environmental Studies) asked the EVC to list the occupier's demands. The EVC responded that the list had been posted on the Occupy California web site.

Professor Gail Hershatter (History) said she went to a faculty walk through of Kerr Hall, and found the building in remarkably good order. She also stated that the occupiers had created a democratic process for putting together their demands.

Professor Craig Reinerman (Sociology) asked the EVC about the phrase "appeared to be students." Professor Reinerman feels the phrase implies that there are outside

agitators and asked the EVC to provide evidence of such. Also, Professor Reinarman stated that he was in the building when the occupation started and did not feel threatened or unsafe.

The EVC responded that the administration knows that some of the people in Kerr Hall are not students. The safety concern is that all buildings need to have accessible fire exits and while the EVC is glad to hear the professor did not feel threatened, many people in Kerr Hall have said they did.

Professor Triloki Pandey (Anthropology) said everyone was suffering because of the budget situation and asked the EVC about using stimulus funds to offset the budget gap. Professor Pandey also said that civil disobedience is a tool for powerless people. He recommended patience. With patience, he said, things will work out.

The EVC responded that the campus has received stimulus funds and is currently using them to offset the gap, without the funds the budget cuts would be even bigger.

Matthew Palm (Student Union Association [SUA]) addressed the students in the audience who were booing and hissing. He reminded the students that this was the faculty's space, and their main mechanism to express concerns to the administration asking the students to show restraint and respect.

Chancellor Blumenthal added that UC is an outstanding public research institution, and there should not be financial barriers adding that the state, however, is not investing in higher education. He added that education should be a right and not a privilege.

Professor Shelley Errington (Anthropology) stated that she was pleased the administration had shown restraint at both the Kerr Hall and library occupations and that she hoped it would continue. Students at the occupation, she continued, are interested in speaking to the faculty and learning more. They are doing what students can do and the campus should embrace them and work together with them.

The EVC responded that he agreed with Professor Errington's comments, but that occupations are not useful because they prevent workers from doing their jobs.

Robert Singelton (Committee on Admissions and Financial Aid [CAFA] student rep) asked the EVC why he refused to put in writing what he agreed to with the occupiers.

The EVC responded that he did not think it was appropriate or productive to enter into legalistic written statements with the occupiers.

Professor Bettina Aptheker (Feminist Studies) said it had been a productive day so far. Professor Aptheker said that she appreciated the students coming to hear the discussion and had never really understood why people were afraid of students. By and large students are committed to non-violence. There is a choice and a fear on the part of some staff that is not necessary. Professor Aptheker said she would try to help to resolve the current situation with the occupation. She also added that the California state budget was out of balance, and there needed to be a shift in the state's paradigm.

Alex De Arana-Lemich (CAFA student rep) asked Chancellor Blumenthal if he or UC President Yudof have reached out to the California State University (CSU) to raise funds for higher education.

The chancellor said that in terms of advocacy there was a strong connection between the presidents of UC and CSU. UC's budget submission to the legislation was done in concert with CSU.

Professor Olof Einarsdottir (Chemistry) said that faculty, students and staff were upset about layoffs and furloughs, but that the frustration were misdirected. This issue is with the state government, and everyone needs to focus their attention on Sacramento. A takeover in Kerr Hall does not work in that direction. Professor Einarsdottir informed that she was in the building at the time of the occupation, and that she was intimidated and scared.

Robert Singleton (CAFA student rep) asked how faculty plans to fight for co-governance at the UC state level.

Professor Gopal Balakrishnan (History of Consciousness) asked if it is possible to stop the fee hikes.

The chancellor responded that the UC Regents have already approved the fee hike. The only way it could be reversed was if the state or federal government stepped in.

Chris Burris (Oakes College representative) asked why the EVC is not opening discussions with the students at the Senate meeting.

The EVC said he was not sure what that comment meant, but he had an agreement with the occupiers that the occupiers violated. The EVC said he is willing to try again and will work with Professor Aptheker on the issue.

3. Report of the Representative to the Assembly (none)

4. Special Order Annual Reports (none)

5. Reports of Special Committees (none)

6. Reports of Standing Committees

a. Committee on Educational Policy

i. Update Report on General Education

Committee on Educational Policy (CEP) Chair John Tamkun provided an update on general education (GE) revision efforts. CEP has received feedback from departments on the guidelines and has made some minor revisions and clarifications. CEP has also developed an updated list of answers to frequently asked questions which will cover the most pressing concerns. The committee has received a lot of feedback on the complexity of the GE forms. CEP is looking forward to receiving GE proposals in December which the committee will review during the winter quarter.

Matt Palm (SUA rep) said that last year the SUA lobbied for the passage of the GE revisions and for the preservation of the ethnic studies requirement. Mr. Palm thanked CEP and the Senate for moving the reform forward in a timely manner.

b. Committee on Planning and Budget

i. Update on Resolution to UCOP re: Fiscal Transparency

Committee on Planning and Budget (CPB) Chair Brent Haddad informed the Senate that CPB has had some discussion on the resolution, but has not completed its work. CPB hopes to have the work done by the next Senate meeting.

c. Committee on Rules, Jurisdiction and Elections

i. Report on the October 19, 2009 Senate Resolutions

Professor Joel Yellin (Physical and Biological Sciences [PBSci] Division) stated that the resolutions contained an *ad hominem* and unprecedented attack on the UC president and this was against the rules.

Committee on Rules, Jurisdiction and Elections (CRJ&E) Chair John Jordan responded that the resolution was from the floor and CRJ&E did not have a chance to consider it prior to the October 19, 2009 Senate meeting.

Professor Yellin said proper practice was not followed at the special meeting by bringing up something the Senate was not noticed on. CRJ&E should speak up to the breaking of Senate process when such things happen.

CRJ&E Chair Jordan agreed it was improper and said he will take up the question at the next CRJ&E meeting.

Professor Tim Duane (Environmental Studies) said that while it was procedurally incorrect how the Committee of the Whole was formed at the last meeting, it was done so in the correct spirit. The mistake was something the parliamentarian

should have brought up, and it does not seem right to rule the resolution invalid because of the mistake. It seems inconsistent with the spirit of the Senate.

CRJ&E Chair Jordan said that while it was the Senate's intention to form as a Committee of the Whole, they did not do so therefore the subsequent actions simply have no standing.

Professor Duane asked if it is up to individual Senate members to know these details and CRJ&E Chair Jordan said it was up to those who were aware to speak up and monitor. Chair Kletzer interjected that as Senate chair it was ultimately her responsibility. Professor Duane suggested CRJ&E be present at Senate meetings to provide advice.

Professor Duane then said he would like to call attention to the other two resolutions since they were related to a substantive issue for today's topics. Actions were taken by the UC Regents and legal counsel said that the resolutions were invalid, and that the Senate should not accept legal counsel's claim that the resolutions were invalid.

Professor John Faulkner (Astronomy and Astrophysics) said it was the duty of all Senators to familiarize themselves with rules and procedures. If Sturgis had been properly consulted so many peoples' time would not have been wasted. The resolutions were so badly rehearsed: full of errors and badly written. People should not be in a position of blaming the chair or the parliamentarian. It is, he concluded, an individual's responsibility to know.

CEP Chair Tamkun stated that he was not able to attend the meeting but CEP had discussed the resolution that was removed from the mail ballot. The committee discussed whether it would be okay for the campus to take such actions without deliberation and CEP was unanimous that it was not appropriate.

E. Senate Executive Committee

i. Memorial to UC President Mark G. Yudof (AS/SCP/1628)

At the Special Meeting of the Academic Senate on October 19, 2009, the Senate briefly considered a resolution censuring the actions of UC President Mark Yudof that was passed by the UC Santa Barbara Academic Senate. The UCSC Senate tabled that resolution. The Senate Executive Committee (SEC) addressed the tabled resolution by reworking it, and SEC member Bettina Aptheker presented the memorial. Professor Aptheker said that the SEC did not want to censure the UC President but to send him a Memorial with specific instructions on what we expected him to do. The SEC thought this would be more effective than a censure. UC Regent Gould refused to extend the March 31 deadline for the UC Commission on the Future, even though some of the committees are not yet completely formed. Cuts are happening that are crippling the ability to deliver curriculum. This resolution, she concluded, unites us.

Following a discussion where a friendly amendment was accepted, the Senate voted on the memorial.

The memorial passed by voice vote.

**Memorial to UC President Mark G. Yudof
Academic Senate
University of California, Santa Cruz**

On the University

Whereas the University of California has for generations been considered one of the finest, if not the finest, system of public higher education in the world; and

Whereas public higher education is a driver of economic development and job creation within the State of California; and

Whereas the persistent erosion of and current drastic reductions in state funding for the University threaten its mission as a public institution, and likewise threaten the ability of faculty to carry out the University's stated mission; and

Whereas the continued imposition of higher and higher student fees for admission to the University constitutes a form of tuition in fact, if not in name, regardless of linguistic camouflage; and

Whereas tuition is explicitly forbidden by California's state Constitution in its provisions establishing the University of California; and

Whereas UC President Mark Yudof, and his designates have, since he took office, generally undermined constitutionally-mandated principles of Shared Governance with the faculty, offering the appearance of consultation but failing to heed the spirit of shared governance on such issues as Presidential Emergency Powers, the scheduling of furloughs, and the establishment of the Gould Commission on the Future of the University; and

Whereas UC President Mark Yudof has also persistently disregarded universal should be exempt from the salary reduction plan; and

Whereas by enabling such trespasses, the Regents of the University of California, in their solemn responsibility to hold and preserve the University of California as a public trust, as provided for in Article 9,

section 9 of the California State Constitution, have seriously compromised and jeopardized that responsibility; and

On the University of California, Santa Cruz

Whereas the University of California, Santa Cruz affirms the highest levels of commitment to undergraduate and graduate education and to the stellar quality of faculty research and scholarship; and

Whereas the series of decisions concerning student fees and admissions policies, and budget cuts more generally, threaten to reverse and cripple efforts toward appropriate, federally mandated standards of diversity for the recruitment and retention of students, faculty and staff of underrepresented minorities in a state that has a minority population of 57.4%*, and to which UCSC has established a particular commitment; and

Whereas UCSC faculty and staff are among the lowest paid employees in the UC system despite the very high cost of living in Santa Cruz and surrounding communities and despite their demonstrated excellence in their fields,

Therefore be it resolved that the Academic Senate of the University of California, Santa Cruz, directs UC President Mark Yudof to fully assume his important role as spokesperson and advocate for the University of California, and further directs the President, and the Board of Regents of the University of California via the UC President to mount a vigorous, aggressive campaign to defend this great institution by educating the public, the Governor, and the State Legislature about the vital functions and contributions of the University of California; its educational mission as a center for intellectual, scientific, cultural, and scholarly research and development; and its ethical mission to sustain the state and the nation by preparing California's young people to assume the responsibilities of an enlightened citizenry in a democracy; and

be it resolved that the Academic Senate of the University of California, Santa Cruz, directs UC President Mark Yudof and the Board of Regents via the UC President to forcefully resist any further budgetary cuts to the University of California; and

be it resolved that the Academic Senate of the University of California, Santa Cruz, directs UC President Mark Yudof and the Board of Regents via the UC President to campaign vigorously and with the utmost determination to reverse the cuts that have been made and work in concert with the Chancellors, Presidents, and Governing Boards of the California Community Colleges and the California State Universities to

form a *united* campaign to save public higher education in the State of California; and

be it resolved that the Academic Senate of the University of California, Santa Cruz, directs UC President Mark Yudof and the Board of Regents via the UC President to commit to *reduce* student fees gradually each year; and

be it resolved that the Academic Senate of the University of California, Santa Cruz, directs UC President Mark Yudof and the Board of Regents via the UC President to implement forthwith the protocols of shared governance with the faculty, in fact and not just in appearance, and to enlist the support of the faculty in its campaign to save public higher education; and

be it resolved that the Academic Senate of the University of California, Santa Cruz, directs UC President Mark Yudof and the Board of Regents via the UC President to give priority to the adequate and full representation of underrepresented minorities at all levels of the University of California in accord with federal mandates; and

be it resolved that the Academic Senate of the University of California, Santa Cruz, directs UC President Mark Yudof to immediately end all salary reduction through forced furloughs for any university employee earning less than \$40,000 a year; and

be it further resolved that the Academic Senate of the University of California, Santa Cruz, directs UC President Mark Yudof to end all salary reductions and restore all salaries for all UC employees on July 1, 2010.

*This is based on 2008 statistics and the specific breakdown is:

- White – 42.3%
- Hispanic/Latino – 36.6%
- Black – 6.7%
- American Indian – 1.2%
- Native Hawaiian/ Pacific Islander - .4%
- Asian – 12.5%

Spoke in favor of the Memorial	Spoke against the Memorial	Comments about the Memorial
Brent Haddad	Joel Yellin	Shelley Errington
Matt Palm		John Faulkner
Eileen Zurbriggen		
David Smith		

Loisa Nygaard	
Elizabeth Abrams	

The following points were made in favor of the memorial:

- I was not troubled by the characterization in this memorial
- The SUA reacted with a long standing ovation. Thank you to the SEC. SUA supports its passage.
- Thank you for this resolution. It is strongly written.
- I would enjoy censuring the president, but that would not be useful. The state has a budget problem, but it is still a rich state.
- We are essentially telling our president where he has gone wrong and giving him advice.

The following point was made against the memorial:

- What is the essence of this memorial? It could be rewritten. This is not one of the positive things we might do as faculty to improve the situation.

The following comments were made about the memorial:

- Should we censure an individual by name?
- You can censure a person, not a person's comments.

Following the vote on the resolution the Senate voted for the Memorial to go forward without a mail ballot. The vote passed by voice vote.

ii. Resolution on Child Care (AS/SCP/1631)

SEC member Elizabeth Abrams presented the resolution. SEC member Abrams stated that the decision to remove funding for child care occurred without consulting the stake holders. The resolution is essentially the same resolution as the 2004 Senate resolution for a child care plan.

Following a discussion the Senate voted on the resolution on child care.

The resolution on child care passed by voice vote.

Whereas high quality, affordable child care is critical to faculty and staff parents and should be a cornerstone of a healthy campus community,

Whereas child care is recognized as crucial for faculty and staff recruitment and retention, even by those faculty and staff who do not currently need it themselves, as well as for faculty and staff morale,

Whereas the availability of affordable, accessible child care is especially important to women and underrepresented minorities, to whose support the campus has made a particular commitment in its statements on

diversity,

Whereas accessible child care enables the productivity and effective performance of faculty and staff parents,

Whereas the number of child care spaces on campus has always been inadequate to the needs of our faculty and staff,

Whereas faculty and staff will be barred from access to campus child care as of January 1, 2010,

Whereas there is limited availability of affordable, high quality, full-time child care in the larger Santa Cruz community, and in particular of such child care in reasonable proximity to the UCSC campus,

Whereas the campus administration has shown a lack of leadership on this issue by its inability to offer alternatives to denying faculty and staff child care,

Whereas UCSC will thus become the only UC campus with no provision for child care benefits for faculty or staff,

Therefore be it resolved that we direct the UCSC administration to heed the Senate's repeated calls for adequate child care services and to speedily develop a plan to provide for affordable, high quality child care for the full campus community, and in particular for the families of faculty and staff.

Spoke in favor of the Resolution	Spoke against the Resolution	Comments about the Resolution
Kirsten Gruesz		
Maureen Callanan		
Susan Strome		

The following points were made in favor of the resolution:

- All other UC's have child care programs. Cutting child care was done over the summer without consulting the Child Care Advisory Committee. Last week the stakeholders were told they would not qualify for child care at the other UCSC facility.
- To get back to having a facility in the future we will need to waste time and money to get staff, equipment, licenses and new leases.
- I ask the chancellor and EVC to consider the opportunities and not just the barriers.

- I am in favor of restoring all child care. The children will be taken out of the care environment that they have known well. What does this say about support for parents? This will negatively impact recruitment and retention of students and faculty.

7. Report of Student Union Assembly Chair

Matt Palm (SUA Commissioner of Academic Affairs) indicated that this had been a historic week in UC. Up to this year the state paid most of this campuses' operating budget. He added that we were becoming a campus that was primarily funded by student fees and not state funds. That, he declared, was a huge shift. The UC Regents are making the students and workers pay for the operating budget. He questioned what this meant for our status as a public institution.

8. Report of the Graduate Student Association President (none)

9. Petitions of Students (none)

10. Unfinished Business (none)

11. New Business

Professor Shelly Errington (Anthropology) stated that she had a resolution on non classroom instructional days, but had decided against proposing it. She did, however read the resolution to the Senate.

Adjournment: 5:00 p.m.

ATTEST:

Norma Klahn
Secretary

Draft M I N U T E S
Regular Meeting of the Santa Cruz Division
February 10, 2010

Meeting

A regular meeting of the Santa Cruz Division of the Academic Senate was held Wednesday, February 10, 2010 at the Stevenson Event Center. With Parliamentarian Michael Dine present, Chair Lori Kletzer called the meeting to order at 2:30 p.m.

1. Approval of Draft Minutes

Chair Kletzer asked if there were any proposed change to the minutes of November 20, 2009. As there were none, the minutes were approved.

2. Announcements

a. Chair Lori Kletzer

Chair Kletzer introduced herself and welcomed attendees to the meeting and provided an overview of the meeting agenda. Chair Kletzer stated that much of the Senate meeting environment is influenced by the state budget crisis, and the only constant is the uncertainty of the budget and lack of information. Chair Kletzer added that UC President Yudof has stated his commitment to ending the furlough program, but there is concern that ending the program will become another unfunded mandate. Chair Kletzer acknowledged CPEVC Dave Kliger, who is stepping down from his post on June 30, 2010. Chair Kletzer thanked CPEVC Kliger for his service to the campus.

b. Chancellor George Blumenthal

The chancellor began by thanking CPEVC Kliger for his five years as campus CPEVC and prior to that, his 15 years as dean of Physical and Biological Sciences (PBSci). The chancellor said CPEVC Kliger has given a lot of his life to campus, and replacing CPEVC Kliger will not be easy. Chancellor Blumenthal has initiated a search process which he hopes will be completed by June or soon after. The CPEVC search will be a UC wide search and is being chaired by Professor David Even Jones (Music). A formal announcement to campus, with more details, is forthcoming.

Chancellor Blumenthal said he believes the furlough program will end this summer, and hopes the end of the program does not pose too much of a budget issue. The chancellor is encouraged by the governor's January budget, which restores \$305 million to the University of California (UC). That money, along with the student fee increases will cover the end of the furlough program and restarting contributions to the retirement system. The chancellor added that the outlook is as good as UC could have hoped for, given the current budget environment. The chancellor said the campus budget reduction exercise is disheartening adding that there is potential for additional cuts, layoffs and challenges to providing educational opportunities for students.

Next the chancellor discussed advocacy. On January 22, UCSC held a forum for elected officials. The elected officials met with administrators, faculty, staff, students and community members. The chancellor thought it was extremely successful. On March 1, students led by the Student Union Assembly (SUA), will head to Sacramento for a day of action. In April an intersegmental day of action is planned in Sacramento. Chancellor Blumenthal mentioned the importance of all segments of higher education showing a united front and being mutually supportive.

The Gould Commission continues its work to think of new ways to meet the future needs of the state. Chancellor Blumenthal is the co-chair of the Size and Shape working group. All the Gould Commission working groups will present an interim set of recommendations to the commission in March, but work will not be completed until the end of the year. The working group's recommendations will be reviewed by the commission and then sent to campuses for review in fall 2010.

Chancellor Blumenthal provided an update on the Post Employment Benefits Task Force. The task force will be back to campus in early May for a forum, and the chancellor strongly encouraged faculty to attend.

Chancellor Blumenthal hosted UC Interim Provost and Executive Vice President Academic Affairs Larry Pitts to campus, and said the visit went well. Interim VP Pitts met with faculty, staff and students. The chancellor added that he hopes that some UCSC students apply for the student regent position.

The chancellor acknowledged recent faculty awards. The New American Association for the Advancement of Science Fellows honored Professor David Draper (Applied Math and Statistics), Professor Thorne Lay (Earth and Planetary Sciences), and Professor Glenn Millhauser (Chemistry/Biochemistry). Other faculty honors include two new members of the Institute of Electrical Engineers: Peyman Milanfar and John Vesecky, both professors of electrical engineering. Philosophy students are going to the National Ethics Bowl competition March 4 in Cincinnati. Students there analyze case studies of ethical dilemmas drawn from business, the environment, the biomedical field and personal ethics to then build morally defensible solutions. Chancellor Blumenthal reported that the campus received nearly 35,000 frosh applications, adding that there was also a significant increase in the number of transfer student applications.

Finally the chancellor reported on the Annual Scholarship Benefit Dinner which was held at the San Jose Tech Museum. The event was extremely successful and raised money for student scholarships.

c. Campus Provost/Executive Vice Chancellor Kliger

The CPEVC began by saying that he first arrived at UCSC in 1971, and has thus been a part of the campus community for 90 percent of the campus' life. The CPEVC acknowledged his leadership team, which he said is highly capable. He announced

that there were searches underway to add to the leadership team for a Vice Provost of Silicon Valley Initiatives, a Vice Chancellor of Planning and Budget and a Vice Provost and Dean of the Graduate Division.

The CPEVC is trying to plan for a campus budget in an environment of much ambiguity. The CPEVC does anticipate further budget cuts, but beyond that not much else is certain. The CPEVC reported on a recent campus budget retreat which was attended by Senate representatives, administrators, students and staff. The EVC has begun collecting information from all units on how they would take cuts ranging from 5.5 to 11 percent. The CPEVC has shared the information with the Committee on Planning and Budget (CPB) and teams of principal officers are also reviewing the information. CPEVC Kliger plans to have a budget proposal by mid April and encourages all faculty to become engaged and talk to their deans and CPB.

Following the CPEVC's comments Chair Kletzer opened the floor for questions and comments.

Professor Karen Bassi (Literature) asked the administration about plans for the March 4 day of action. How, she asked, is the campus planning for possible demonstrations, and is there a proactive approach? Professor Bassi said she was particularly concerned about students being punitively punished. The CPEVC responded that he is planning the same as he always plans for such events. He informed that the Demonstration Response Team and Demonstration Operations Team were meeting regularly. The CPEVC added that he could not say what the response would be unless he was told what the actions would be.

Professor Bassi asked how then can the campus engage in the issues and provide positive enforcement to students and faculty about what is supported. CPEVC Kliger said that the Vice Chancellor for Student Affairs is suppose to be talking to faculty about what is likely to happen and how the administration should respond.

Tim Duane (Environmental Science) brought up the issue of decentralizing FTE's and how it can affect the campus. Professor Duane is quite alarmed about how divisions will feel the brunt of the cuts very differently. He asked the CPEVC if it is the administration's intention, at the end of the budget process, to have massively reallocated the faculty FTE. CPEVC Kliger said he would not respond to the question because Professor Duane is asking about an outcome of a process that has not yet been completed. The EVC is reviewing all submissions and trying to access the impact of the cuts to the campus.

Professor Duane asked what the CPEVC desires at the end of the process. The CPEVC responded the least amount of damage. Professor Duane then said that process matters, and that it is really important to understand the kinds of criteria being considered. Professor Duane also wanted to know how the CPEVC was making decisions. The CPEVC said that last year the cuts were differential. A lot

people thought this was terrible, but the feedback the CPEVC received from CPB said the cuts were not differential enough. The CPEVC wants clear advice from CPB on how the cuts should be taken. He indicated that he would take CPB's advice into account when making his decisions.

Professor Joel Yellin (PBSci) commented on the retirement system and his concern about the manager of the system stating that UC should not think it can invest its way out of the problem. Professor Yellin said he has calculated various scenarios and tried to look at the risk/reward ratio and discovered that if you look at the yearly returns in both cases they are almost entirely the same. Professor Yellin added that he would like the UC treasurer to put the last 20 years of reports on their website. Chancellor Blumenthal thanked Professor Yellin for the comment and specified that there is a UC-wide task force that is reviewing investments. There is also a subgroup of the UC Committee on Faculty Welfare that is looking at investments and the retirement system. The subgroup has looked in some detail at the investment strategy and written white papers on the issue.

Rebecca Cook (Academic Assessment and Grievance Committee [AAGC] student representative) asked what the criteria were for selecting a student representative to serve on the CPEVC search committee. The chancellor responded that the administration has asked for nominations from the SUA and the Graduate Student Association (GSA). They are looking for students who have been involved in campus issues and have shown a commitment to the campus.

3. Report of the Representative to the Assembly (none)

4. Special Order Annual Reports (none)

5. Reports of Special Committees (none)

6. Reports of Standing Committees

a. Committee on Educational Policy

i. Update Report on General Education

Committee on Educational Policy (CEP) Chair John Tamkun provided an update on general education (GE) reform efforts. CEP Chair Tamkun said the new requirements will go into effect in the fall, but there will be a transitional period at which time both systems will be in place. Currently the committee and departments are working towards implementing the requirements. CEP Chair Tamkun also provided an overview of course proposals submitted for approval, and concluded that there was reason to be optimistic.

b. Committee on International Education

i. Current Status Report on the Education Abroad Program (AS/SCP/1636)

Professor Tim Duane (Environmental Sciences) commended the committee for the report. He said there is a troubling trend involving the privatization of the overseas function. Professor Duane is concerned that overseas studies will be available only for the rich. Committee on International Education (CIE) Chair Debra Lewis said CIE just sent a survey to departments about the Education Abroad Program (EAP). EAP is going from \$18 million in general funds to \$1 million adding that cost cutting at the center should not become cost shifting to the campuses. CIE would like departments to think about how they interact with EAP. Chair Kletzer added that EAP needs to be an academic program and a top priority.

c. Committee on Planning and Budget

i. Report on 2010-11 Budget Process (AS/SCP/1632)

CPB Chair Brent Haddad said CPB would like to hear from faculty and students. The committee's deadline to deliver advice is March 15.

Dean Steve Thorsett (PBSci) thanked CPB for the work the committee has done with the deans. The deans are looking for broad advice on their budget plans, and find CPB's reports helpful.

d. Committee on Rules, Jurisdiction and Elections

i. Amendments to Bylaw 8.4.1 & 9.1 (AS/SCP/1634)

Following a lengthy discussion on the amendments Committee on Rules, Jurisdiction and Elections (CRJ&E) that recommended that the Senate Secretary be designated to conduct all mail ballots with CRJE overseeing them, Chair John Jordan withdrew the motion.

Spoke in favor of the amendments	Spoke against the amendments	Comments about the amendments
John Jordan	Joel Yellin	Tim Duane
	Onuttom Narayan	Richard Hughey
	Judith Habicht-Maucue	Manfred Warmuth
		Mark Traugott
		Karen Bassi

Points made in favor of the amendments:

- CRJ&E is attempting to achieve consistency with respect to conducting mail ballots. Some language says the secretary counts the ballots and others say RJ&E counts the ballots. In fact, CRJ&E counts the ballots.

Points made against the amendments:

- There is something logically amiss. This amendment should be tabled until CRJ&E can work out the inconsistencies.
- CRJ&E already counts the ballots, the secretary never has.
- This is problematic because there is other language in the bylaw and now we have complicated the entire bylaw and need to fix it everywhere there is

similar language. The implication that CRJ&E supervises the election means CRJ&E has counted the ballots.

- The amendments need to indicate that the secretary conducting the referendum by mail ballot does not include counting which is what CRJ&E does already. Under the supervision means that CRJ&E ensures that elections are carried out properly.

Comments about the amendments:

- A sentence needs to be added stating that CRJ&E counts the ballots.

e. Senate Executive Committee

i. Amendment to Chapter 6.3 – Special Meetings (AS/SCP/1633)

Senate Executive Committee member Marc Mangel explained that the amendment changes the number of Senators needed to call for a special Senate meeting from 10 to 25. The current value of 10 is forty years old, and was established when the campus was much smaller and there were fewer Senators. The number 25 is half of a quorum for a Senate meeting, and the same number of Senators needed for a mail ballot.

Following a brief discussion the Senate voted on amendment 6.3.

The amendment passed by hand vote.

A Special Meeting of the Santa Cruz Division may be held on any day of instruction in the fall, winter, or spring quarter, and may be called by the President of the Academic Senate or the Chair of the Santa Cruz Division. Upon written request of twenty five voting members except as provided for in SB 75.B, a meeting shall be called within fourteen calendar days by the Chair of the Division. If a request is received within less than fourteen calendar days before the end of the quarter, the meeting will be called within the first seven calendar days of the next fall, winter, or spring quarter.

Spoke in favor of the amendments	Spoke against the amendments	Comments about the amendments
		Joel Yellin
		Tim Duane
		Onuttom Narayan

Comments about the amendments:

- What does SB 75.B refer to? SEC member Mangel explained SB 75.B is the way to appeal a decision by the assembly. SB 75.B. says ten is the required number.
- Is there a problem other than logistical inconsistency?
- Is there something to be solved?

ii. Framing a Senate Meeting Discussion of the Narrative Evaluation System (AS/SCP/1635)

Chair Kletzer reported that last fall the Senate Executive Committee (SEC) constituted a Narrative Evaluation System (NES) subcommittee. SEC felt there were a number of campus conversations about NES, and the SEC should take the lead on the issue. Chair Kletzer said consideration of the NES at this time is not entirely budget driven. The SEC is not advocating one way or another, but suggesting a set of options that might structure the conversation. This is the first step and Senate Chair Kletzer recognized that student and alumni opinions still needed to be heard. The SEC began alumni outreach last week, and students may be surveyed. There may be broader campus forums that allow people without privilege of the floor a place to speak.

Professor Joel Yellin (PBSci) moved that the Senate constitute itself as a committee of the whole and have an information discussion. Chair Kletzer acknowledged that there was not an actionable item on the floor and called for a vote.

The motion to move to a committee of the whole passed by voice vote.

The following individuals spoke on the issue of NES:

Barry Bowman, Molecular, Cell and Developmental Biology

Daniel Press, Environmental Studies

Debra Lewis, Math

Gail Hershatter, History

Joel Yellin, PBSci

John Johnson, GSA President

Judith Habicht-Mauche, Anthropology

Karen Bassi, Literature

Marc Mangel, Applied Math and Statistics

Mark Traugott, History

Paul Koch, Earth and Planetary Sciences

Tim Duane, Environmental Sciences

Todd Lowe, Biomolecular Engineering

Rebecca Braslau, Chemistry/Biochemistry

Rebecca Cook, AAGC student representative

Mayanthi Fernando, Anthropology

Megan Moodie, Anthropology

Onuttom Narayan, Physics

Shigeko Okamoto, Language Program

Matthew Palm, CEP student representative

Triloki Pandy, Anthropology

Barbara Rogoff, Psychology

Deanna Shemek, Literature

Manfred Warmuth, Computer Science

Comments about the NES included:

- People are using budgetary considerations as a motive to get rid of the NES. People will use the opportunity of a budget crisis to do something they have wanted to do for a long time.
- The SEC report says the NES cost \$50k annually, but that is just central staff, AIS and IT related costs. There is also faculty and department manager time. All those costs are distributed across the campus and it is very difficult to estimate.
- When I first arrived faculty members were supposed to have only 6 to 8 advisees for which to write evaluations. What made the campus special is disappearing. If we make it optional no one will do it. We need to think who will benefit.
- I came here because I believed in the narrative system, but from my arrival in 1996 and beyond the NES has been a joke.
- I was opposed to letter grades last time we had this discussion, then students were begging us to save narratives. Now if I felt the students valued the labor effort I would be up here arguing in favor, but a lot of students do not even know there are narratives.
- As a student I value them. There is a lot of important feedback that sets a context to a letter grade. When it comes to big classes it challenges the professor and students to develop some sort of communication and relationship.
- A lot of students do not know these options are being considered.
- At every point until now I have supported the NES, but I do not think it is working anymore. Classes are bigger and we do not have the same contact with students, it is just not feasible.
- There should be a hybrid. Math tests intimidate people and evaluations allow me to indicate how a student does on the homework. NES encourages people to persist.
- The NES should be kept in some form. For some people it is a very valuable pedagogical tool. In an optional system, faculty concerned with workload can do the minimal and tardiness issue will go away.
- The core reason for eliminating the NES is that support among the faculty is very shallow. Compliance on timeliness has always been issue. Department managers have had to be the police in the system. Staff has been reduced to the point that they cannot do what they need to. A number of department managers have said it would be a big help if the NES would go away.
- I used to believe in the NES, but I do not anymore. TA's have been only mentioned once and non ladder rank faculty not all. Any further discussion needs to include the GSA and non ladder rank faculty.

- I was in disbelief when I found out I had to do evaluations, then I was told that one line was required, and I knew the system was gutted. New faculty see the point of this tradition less and less. The purpose has come and gone, we should remove it before it becomes a complete joke.
- I took it very seriously until I found out my colleagues used excel spreadsheets. I am in favor of a hybrid.
- When I review graduate school applications, I look at grades and letters of recommendations. The NES is a data dump.
- Graduate students want to receive evaluations, but we do not want to write them.
- We need to ensure evaluations have meaningful content. Preserving relics from the past is not meaningful. The NES should be optional. If there is suitable software that allows input of other scores, it might be motivating.
- I have been teaching here since '74 and would count myself as a supporter in concept, but not of what the NES has become. Procedurally, I would like to urge SEC to carefully frame whatever discussion takes place.
- Evaluations can be valuable if they are done well. What I have heard today is good evaluations are good and bad evaluations are bad.
- I love them until I have to write them. I came here because of the dedication to undergraduate and graduate students, research and teaching. I see it eroding and we need to decide what we are going to be in the future.
- We need to think about our audience and our goals. Students get input from me every day, not just the end of the quarter.
- It is important to add contextualization to grades. I want to add information about what the class was, in addition to how the student performed.
- The accountability measures that have been added to the NES have given faculty so much trouble; they should have never been included. Once we went to mandatory grades the only accountability measures that should have been included were whether faculty turned in grades are not.
- The NES is used punitively. We should be evaluating students throughout the quarter on the work they are turning in.
- Class sizes are increasing and lecturers teach many courses with no TAs, and they are penalized on timeliness and even content of narrative evaluations much more severely than ladder rank faculty.

Following the comments, Chair Kletzer said the SEC will take all comments very seriously and think about a revised set of options that bring some coherence to the situation.

7. Report of Student Union Assembly Chair

Matt Palm (SUA Commissioner of Academic Affairs [CAA]) reported on recent SUA activities. The SUA bused students to the recent Regents meeting, conducted a

survey on library hours, worked with the Center for Teaching and Learning to publicize awards, and organized forums for students about the budget. The SUA is willing to run a survey on the NES.

CAA Palm also said students are fiercely opposed to the elimination of language programs, are concerned about cuts to students with disabilities, and course capacities. Frustrations include not getting into needed courses and cuts to diversity related courses.

CAA Palm closed by saying that UC is accountable to students who are paying for their education.

8. Report of the Graduate Student Association President

John Johnson, President of the GSA, reported that the system-wide GSA submitted a resolution to the Regents regarding a fee increase, and turned in recommendations to the Gould Commission. The campus GSA, he reported, has expressed interest in being part of the EVC search. GSA President Johnson then applauded the Senate on its work on the budget and childcare issues. GSA's main concern, he expressed, is the budget, and graduate students would like more communication and transparency about how departments plan to implement the cuts.

GSA President Johnson closed by acknowledging the recent deaths of UCSC students.

9. Petitions of Students (none)

10. Unfinished Business (none)

11. New Business (none)

Adjournment: 5:00 p.m.

ATTEST:

Norma Klahn
Secretary

SENATE EXECUTIVE COMMITTEE
Amendment to Regulation 9.2 – Written Evaluations

To the Academic Senate, Santa Cruz Division:

In 2000, UCSC adopted a revised student evaluation system that added mandatory letter grades (previously optional) to the existing performance evaluation system. It has now been ten years since that modification. Across the divisions, conversations have been occurring that consider the size and scope of written performance evaluations.

It is evident from these many discussions that there is much of value in this assessment method and that for some courses and instructors, written performance evaluations are closely integrated into the pedagogical framework. Campus-wide discussions also reveal that for some courses narrative evaluations do not add value to the learning experience nor help create a meaningful record of student performance. Support for, as well as opposition to, the current system is, at best, only loosely correlated with course size, whether the instruction is undergraduate or graduate, or for undergraduates, upper-division versus lower-division. The appropriate and meaningful use of narrative evaluations is contingent upon the degree to which the instructor incorporated the end-of-term assessment into the overall pedagogical approach.

After carefully hearing the concerns of students, alumni, teaching faculty and staff, the Senate Executive Committee now puts forward a change to the regulations that makes undergraduate narrative evaluations instructor-optimal. The Committee on Education Policy has endorsed this proposal.

Moving to an optional narrative evaluation system eliminates the need for the elaborate accountability structure mandated by our Campus Academic Personnel Manual 006.000.

Current wording	Proposed wording
<p>9.2 Written Evaluations.</p> <p>9.2.1 At the end of the term, each instructor teaching a credit-granting course shall prepare a written evaluation for each student who receives a grade of P, A, B, C, or D in his or her class. The narrative evaluation must evaluate the quality and characteristics of the student's performance in the class. (Refer to <i>CEP Advisory Guidelines on Writing Narrative Evaluations.</i>)</p> <p>9.2.2 Evaluations are to be filed with the Registrar and the student's college at the time of filing the end-of-term course reports or no later than 15 working days after the close of the term. The college makes available one of</p>	<p>9.2 <u>Instructor-optimal</u> Written Evaluations.</p> <p>9.2.1 At the end of the term, each instructor teaching a credit-granting course shall has the option to prepare a written evaluation for <u>any each</u> student who receives a grade of P, A, B, C, or D in his or her class. The narrative evaluation must evaluate the quality and characteristics of the student's performance in the class. (Refer to <i>CEP Advisory Guidelines on Writing Narrative Evaluations.</i>)</p> <p>9.2.2 Evaluations are to be filed with the Registrar and the student's college at the time of filing the end-of-term course reports or no later than 15 working days</p>

its copies to the student and one to the student's adviser.	after the close of the term. The college makes available one of its copies to the student and one to the student's adviser.
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Respectfully submitted,

SENATE EXECUTIVE COMMITTEE

Elizabeth Abrams

David Brundage

Maureen Callanan

Mark Carr

Sue Carter

Bruce Cooperstein

Carla Freccero

Brent Haddad

Norma Klahn

Phokion Kolaitis

Marc Mangel

Loisa Nygaard

John Tamkun

Lori Kletzer, Chair

March 12, 2010

COMMITTEE ON PLANNING AND BUDGET
Recommendations on 2010-11 Budget Reductions

To the Academic Senate, Santa Cruz Division:

Current estimates by the administration predict that the campus core permanent budget will be cut approximately \$8.3 million in 2010-11, or roughly 4.5 percent. The Committee on Planning and Budget (CPB) recommendations for budget reductions are based on the assumptions that the campus will be asked to cut its budget by this 4.5 percent for 2010-11, that multi-year funding to the campus will remain roughly near its 2010-11 level, and that campus enrollments will remain near their current level. These assumptions emerged from our conversations with CPEVC Kliger and other campus and UC budget officers. We do not attach a high level of certainty to these assumptions, so our budget-cutting recommendations are conservative, protecting us from worse budget news while creating opportunities for investment or reinvestment in pursuing campus goals if our eventual budget turns out to be better than expected.

We are basing our budget-reduction recommendations on principles adopted unanimously by CPB during fall quarter, 2009, found in Appendix A. Specifically, we have carefully applied the third principle: "Investment of resources in academic support units must be clearly justified in terms of satisfying or advancing the academic mission and meeting basic legal obligations of the campus" which has resulted in differential cuts between academic units and academic support units. This principle was also applied to administrative functions within academic units resulting in differential cuts among the academic divisions. CPB has carefully noted the risk factors indicated by principal officers in meeting legal and compliance obligations and attempts to balance those risks against the risk of allocating cuts in a manner that prohibits the campus from fulfilling its obligations to students. Additionally, CPB is mindful of the risk of shortchanging the research enterprise to the extent of creating irreparable harm to previous investments in this area.

The Fundamental Trade Off

In a declining resource environment, protection of instruction and research funds necessitates reduction of resources in academic support and institutional support activities.

Ultimately, our core activities are instruction and research. Our front-line ability to provide instruction and carry out research has already been compromised by the combination of rapid enrollment growth and long-term erosion of state support for higher education. If we are to protect budget lines that directly affect instruction and research (e.g., graduate student support, lecturer salaries, FTE provisions), we must be willing to live with reduced or lost services in other areas. After two years of extensive budget cuts, we have few opportunities left that do not create gaps in services we value. However, we value the instruction and research missions even more and they are equally at risk.

The budget-review process CPB followed enabled us to compare reduction proposals from across the campus side-by-side so we are aware of the high quality and important activities that will be cut or compromised if our proposal is adopted. But few activities equaled or exceeded the importance of resources dedicated to the classroom experience, graduate education, and direct research support.

All campus constituencies benefit from well-run academic and administrative support units. Our campus benefits from hard-working, dedicated staff and professionals who, over the past two years, have seen budget resources decline while attempting to maintain and improve services. CPB in making its recommendations has been mindful of legally mandated and extremely valuable services provided by these units.

Recommendation Summary

CPEVC David Kliger asked all principal officers to submit budget cutting proposals in the ranges of 5.5 percent and 11 percent which were carefully reviewed by CPB. CPB has submitted a detailed proposal for allocation of budget reductions to CPEVC Kliger which is summarized in Table 1 for an overall reduction of 4.7 percent. This total narrowly exceeds the expected reduction of 4.5 percent, providing some funds to address unpredicted negative consequences of the cuts.

Table 2 provides CPB recommendations if the budget cut is somewhat larger, 5.3 percent. In the more drastic scenario, CPB found it necessary to propose even deeper cuts to the academic divisions and Information Technology Services.

These recommendations are based only on the submissions from principal officers. We are suggesting nothing that was not already in the proposals we saw. These submissions identified for elimination duplicative processes, response times that could be extended without undue risk and areas where we produce reporting more than is minimally required. Our entire scenario is based on what principal officers believe to be available in 5.5 percent and 11 percent reduction scenarios.

In making budget-reduction choices, the campus faces risks of many kinds. If we reduce commitments to institutional support, depending on how those reductions are taken, we could increase the response time to campus emergencies, increase the possibility that accounting documents are not properly prepared, or multiply the chance that deferred maintenance turns into larger immediate repair costs. There are many other examples. The risks to the academic enterprise are more difficult to measure. They involve eroding the quality of the academic experience of students who attend UCSC. When measures like student-teacher ratios, time to degree, and average class size move in the wrong direction, they indicate that we are putting at risk one of our fundamental objectives – providing a world-class education to our students. Similarly, when faculty workloads expand and research support services decline, our other fundamental objective, world-class research, is put at risk. These are also fundamental risks affected by our budget choices. For the 2010-11 budget, CPB determined the risks to instruction and research to be greater than the risks to institutional and academic support at the anticipated budget reduction. However,

with the deeper budget cut scenario (5.3 percent), CPB applied greater cuts to direct instruction and research support than institutional support.

In our January 28, 2010 report to the Senate, CPB said it would “consider individual unit reduction proposals in the widest possible context” and we have done so. We studied the budget-reduction proposals of all principal officers. We reviewed budget reductions over the past two years, longer trends in budgeting, relevant CPB correspondence and minutes, and other campus budget reports. We met with principal officers of all academic divisions and held informal discussions with chairs of other Academic Senate committees. CPB’s access to all materials submitted by principal officers, plus their willingness to respond on short notice to our clarifying questions, made our review possible. We agreed to hold all budget proposals and correspondence in confidence and we have. Our detailed proposal to CPEVC Klinger likewise is confidential.

TABLE 1: 4.5 Percent Budget Reduction Scenario

Unit Name	Current Core Budget (\$)	CPB Proposed Reduction	Reduction Percentage
Academic Personnel	756,903	41,600	5.5
Academic Senate	834,815	26,622	3.2
Arts	10,790,061	160,000	1.5
BSOE	14,045,687	167,000	1.2
BAS*	26,393,405	2,895,400	11.0
Chancellor's Office	1,332,861	146,600	11.0
EVC's Office	1,080,870	79,117	7.3
Graduate Division	777,691	58,400	7.5
Humanities	17,723,569	183,072	1.0
ITS	20,686,360	1,696,000	8.2
Library	9,525,772	0	0.0
Office of Research	2,158,490	70,000	3.2
PBSci	28,900,769	401,134	1.4
Planning and Budget	2,122,803	154,050	7.3
Silicon Valley	1,200,000	132,000	11.0
Social Sciences	22,977,047	359,837	1.6
Student Affairs	9,274,885	933,582	10.1
UARC	322,312	35,500	11.0
UCO Lick	562,357	30,900	5.5
University Relations	5,329,387	586,200	11.0
VPDUE	4,874,185	329,365	6.8
TOTALS	181,670,229	8,486,379	4.7

*The BAS core budget excludes purchased utilities (\$7m)

TABLE 2: 5.4 Percent Budget Reduction Scenario

Unit Name	Current Budget (\$)	CPB Proposed Reduction	Reduction Percentage
Academic Personnel	756,903	41,600	5.5
Academic Senate	834,815	26,622	3.2
Arts	10,790,061	160,000	1.5
BSOE	14,045,687	217,500	1.5
BAS*	26,393,405	2,895,400	11.0
Chancellor's Office	1,332,861	146,600	11.0
EVC's Office	1,080,870	79,117	7.3
Graduate Division	777,691	58,400	7.5
Humanities	17,723,569	228,305	1.3
ITS	20,686,360	2,275,499	11.0
Library	9,525,772	179,981	1.9
Office of Research	2,158,490	119,000	5.5
PBSci	28,900,769	475,514	1.6
Planning and Budget	2,122,803	154,050	7.3
Silicon Valley	1,200,000	132,000	11.0
Social Sciences	22,977,047	433,837	1.9
Student Affairs	9,274,885	933,582	10.1
UARC	322,312	35,500	11.0
UCO Lick	562,357	30,900	5.5
University Relations	5,329,387	586,200	11.0
VPDUE	4,874,185	329,365	6.8
TOTALS	181,670,229	9,538,972	5.3

*The BAS core budget excludes purchased utilities (\$7m)

Ongoing Consultation

CPEVC Kliger has been carrying out a parallel budget-reduction analysis. He is currently reviewing our proposed reductions before issuing his initial targets to principal officers. By submitting our proposals prior to CPEVC Kliger's own decisions, the administration has created an opportunity for meaningful consultation on difficult budget cuts. CPB looks forward to understanding the CPEVC's justification about any potential differences from our recommendations in the allocation of budget reductions. This necessary dialog with CPB and other campus constituents will foster the type of understanding that will make difficult budget reductions more tenable.

CPEVC Kliger's targets may be revised based on subsequent feedback from CPB and others, or if new budget information emerges. Similarly, as the UCSC budget picture for 2010-11 becomes more clear, our recommendations for cuts (Tables 1 and 2) will likely change. We have laid the groundwork for productive consultation with the administration as we all learn more.

Improving the Campus Budgeting Process

We ask that future annual budget plans be embedded in a 3-to-5-year planning scenario based on campus-wide academic goals. In this way, we will extend our planning horizon beyond the emergency budgeting that the state budget crisis has imposed on us over the past three years to a more solid medium-to-long-term footing.

Consistent with its previous recommendations CPB urges this be done in conjunction with a comprehensive budget review exercise. This year, CPB focused on the 5.5 percent and 11 percent increments of budgets proposed for cuts, but had inconsistent knowledge indicating how the remaining 94.5 percent and 89 percent of budgets are being utilized. This year's process has exposed functions and priorities quite distant from instruction and research that were retained last year while teaching assistants and lecturers – those in direct support of delivery of the curriculum – were simultaneously cut. A more thorough review could reveal the current relevance of historic budgetary decisions and yield opportunities for consolidation, economizing, and improvement of services. This review should encompass academic, academic support, and institutional support divisions.

Respectfully submitted,

COMMITTEE ON PLANNING AND BUDGET

JJ Garcia-Luna

Gildas Hamel

Lori Kletzer

Piero Madau

Marc Mangel

Cindy Pease-Alvarez

Warren Sack

Jennifer De La Torre, SUA Representative

Gene Switkes

Jerroyd Moore, SUA Representative

Rob Wilson

Kevin Schlaufman, GSA Representative

Brent Haddad, Chair

March 15, 2010

Appendix A

CPB Principles for Investment and Reallocation

The Committee on Planning and Budget has developed and adopted these principles to help guide its deliberations. We will apply the principles to procedural and substantive issues related to campus planning and budgeting. These principles are consistent with CPB positions in recent years and with broader campus values. Their purpose is to provide the ability to weigh alternatives and reach conclusions and recommendations that have ethical consistency and are rooted in historic and current campus values.

1. UCSC is a public research university committed to the tripartite mission of instruction, research, and service. Given the demographic profile of California, UCSC must address the academic needs and aspirations of a diverse student population. The dissemination of knowledge (instruction) and creation of knowledge (research) are the services that UCSC provides to society.
2. Equal resource distribution is a lower priority than differential resource distribution based on potential for or evidence of excellence in instruction, research, and service. It must be acknowledged that certain areas of instruction, research, and service will not be a priority at UCSC. Intellectual leadership is needed to make these judgments. The sources of intellectual leadership are the faculty and campus academic leadership.
3. Investment of resources in academic support units must be clearly justified in terms of satisfying or advancing the academic mission and meeting basic legal obligations of the campus.

COMMITTEE ON RULES, JURISDICTION AND ELECTIONS
Amendments to Bylaw 8.4.1, 9.1, and 13.28.6

To the Academic Senate, Santa Cruz Division:

The Committee on Rules, Jurisdiction and Elections (CRJE) is proposing changes to Santa Cruz Division Bylaw (SCB) 8.4.1 and 9.1, in order to make the days by which to submit petitions for mail ballots match for these two Bylaws, and to make clear who conducts mail ballots versus oversees them in the Santa Cruz Division. The Senate Executive Committee (SEC) has asked that the number of days needed for a mail ballot be reduced from 21 to 14 for reasons discussed below.

Days allowed for requesting mail ballots

In 2003 the days given in 8.4.1 on Referendum changed from “not later than 21 calendar days after the Minutes of the Divisional Senate meeting reporting such action have been placed in the mail” to “not later than 21 calendar days after the Notice of Divisional Actions of the Santa Cruz Division or of the Executive Committee has been circulated to the Division either by mail or through direct electronic communications.”

CRJE finds that the rationale for changing the days to match the Divisional Action Report applies also to 9.1. Here is the relevant quote for the 2003 justification: “Currently, the deadline for submitting petitions requesting a mail ballot referendum on an issue decided at a Divisional Senate meeting is tied to the distribution of the ‘minutes’, without specifying whether they are draft minutes or approved minutes. Draft minutes really have no official status, and minutes of a meeting have taken as long as a year to be approved. Instead, we are proposing that the deadline for such petitions be tied to the Notice of Divisional Actions, which our Secretary must distribute to all Senate members within two weeks after each meeting. It is only divisional actions which are subject to referenda, and this change would require that referenda be initiated in a timely fashion after the Division has acted.” The justification for that change to SCB 8.4.1 as submitted to the Senate in February 2003 is attached in Appendix A.

SEC has recommended that the number of days for submitting a petition for a mail ballot be reduced from 21 to 14. As indicated in their letter to CRJE, “The 21 day time frame was initiated at a time when the distribution of draft minutes was issued by hard copy. Now that the Divisional Action Report (DAR), which is distributed electronically, sets the timeline for a petition in motion, a shorter time line for submitting such a petition seems sensible. Over the past decade, many Senate timelines have accelerated in consideration of the availability of electronic distribution. This shorter period would more rapidly allow the Senate to understand the status of its own business—meaning that the decision made at the meeting stands or that it is subject to a ballot.”

Conducting versus overseeing mail ballots

CRJE finds what appears to be a conflict in the language of SCB 4.3 which says that the Secretary “conducts all elections and all mail balloting in the Santa Cruz Division, under the supervision of the Committee on Rules, Jurisdiction, and Elections” versus SCB 8.4.1 which says “the Committee on Rules, Jurisdiction, and Elections shall conduct a referendum by mail ballot” and SCB 9.1 which says “The mail ballot referendum will be conducted by the Committee on Rules, Jurisdiction, and Elections.”

The Committee recommends that the Senate Secretary be designated to conduct all mail ballots with CRJE overseeing them.

For clarity and consistency in counting of ballots, CRJE proposes that language similar to SCR 11.3 on elections be added to SCR 13.28.6. SCR 11.3 states: “The Committee on Rules, Jurisdiction, and Elections determines the dates on which Divisional elections should be held, counts or appoints tellers to count the ballots, and certifies the results to the Chair of the Santa Cruz Division.”

Current wording	Proposed wording
<p>SCB 8.4.1 Referendum.</p> <p>Upon petition by at least 25 members of the Santa Cruz Division, the Committee on Rules, Jurisdiction, and Elections shall conduct a referendum by mail ballot on any specific action of the Santa Cruz Division or of the Executive Committee acting for the Santa Cruz Division. Such a petition must be submitted not later than 21 calendar days after the Notice of Divisional Actions of the Santa Cruz Division or of the Executive Committee has been circulated to the Division either by mail or through direct electronic communications. The results of such a mail ballot referendum are deemed conclusive. The Santa Cruz Division may not reconsider for a period of one year a question substantially the same as that decided by the mail ballot. [DLI 1996.10C and 1996.10E]</p>	<p>SCB 8.4.1 Referendum.</p> <p>Upon petition by at least 25 members of the Santa Cruz Division, the <u>Senate Secretary</u> Committee on Rules, Jurisdiction, and Elections shall conduct a referendum by mail ballot <u>under the supervision of the Committee on Rules, Jurisdiction, and Elections</u>, on any specific action of the Santa Cruz Division or of the Executive Committee acting for the Santa Cruz Division. Such a petition must be submitted not later than <u>21</u> <u>14</u> calendar days after the Notice of Divisional Actions of the Santa Cruz Division or of the Executive Committee has been circulated to the Division either by mail or through direct electronic communications. The results of such a mail ballot referendum are deemed conclusive. The Santa Cruz Division may not reconsider for a period of one year a question substantially the same as that decided by the mail ballot. [DLI 1996.10C and 1996.10E]</p>
<p>SCB 9.1 Definition: A "Memorial to the Regents" is a declaration or petition</p>	<p>SCB 9.1 [No change to paragraph 1]</p>

<p>addressed to the President of the University of California for transmission to The Regents. A "Memorial to the President" is a declaration or petition to the President not intended for transmission to The Regents. A "Memorandum" is a so-designated declaration or petition addressed to other than the President or The Regents, such as to the Chancellor of Santa Cruz or to the campus's representatives to the Assembly. [SB 90, SB 85]</p> <p>The Division may submit Memorials to the President of the University of California and may initiate Memorials to The Regents on matters of Universitywide concern to be submitted to The Regents through the President. [SB 90B]</p> <p>Memorials to the Regents, Memorials to the President, and Memoranda may be sent forward only after approval in a mail ballot by a majority of members of the Division eligible to cast votes, unless the decision not to conduct a mail ballot is made by the Santa Cruz Division at a Regular or Special Meeting at which the language of the ballot proposal shall be presented. If the Division decides against holding a mail ballot, a mail ballot will nevertheless be held upon petition of 25 voting members of the Division. Such a petition must be submitted not later than 21 calendar days after the Minutes of the Divisional Senate meeting reporting such action have been placed in the mail. The mail ballot referendum will be conducted by the Committee on Rules, Jurisdiction, and Elections in accordance with Universitywide Senate procedures [SB 95]; approval of the referendum by a majority vote shall constitute direction to the Division to send the Memorial to the Regents, Memorial to the President, or Memorandum forward.</p>	<p>[No change to paragraph 2]</p> <p>Memorials to the Regents, Memorials to the President, and Memoranda may be sent forward only after approval in a mail ballot by a majority of members of the Division eligible to cast votes, unless the decision not to conduct a mail ballot is made by the Santa Cruz Division at a Regular or Special Meeting at which the language of the ballot proposal shall be presented. If the Division decides against holding a mail ballot, a mail ballot will nevertheless be held upon petition of 25 voting members of the Division. Such a petition must be submitted not later than 24 <ins>14</ins> calendar days after the <u>Notice of Divisional Actions of the Santa Cruz Division or of the Executive Committee has been circulated to the Division either by mail or through direct electronic communications</u>. Minutes of the Divisional Senate meeting reporting such action have been placed in the mail. The mail ballot referendum will be conducted by the <u>Senate Secretary under the supervision of the</u> Committee on Rules, Jurisdiction, and Elections in accordance with Universitywide Senate</p>
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	<p>procedures [SB 95]; approval of the referendum by a majority vote shall constitute direction to the Division to send the Memorial to the Regents, Memorial to the President, or Memorandum forward.</p> <p>SCB 13.28.6 The Committee supervises all Divisional elections. It supervises voting on propositions submitted to the Santa Cruz Division by mail ballot.</p> <p>SCB 13.28.6 The Committee supervises all Divisional elections. It supervises voting on propositions submitted to the Santa Cruz Division by mail ballot. <u>The Committee counts or appoints tellers to count all ballots.</u></p>
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Respectfully submitted,

COMMITTEE ON RULES, JURISDICTION AND ELECTIONS

Jean Fox Tree

K.C. Fung

Ira Pohl

William Scott

John O. Jordan, Chair

April 6, 2010